

08/809224

80 Rec'd PCT/PTO 25 MAR 1997
PATENT/TBCKET MAIL 1995**CERTIFICATE OF MAILING (37 CFR 1.10)****"Express Mail" No.:** TB68948725X US **Date of Deposit:** November 4, 1996

I hereby certify that this transmittal together with the patent application referred to below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Julie Lyons, Legal Technician
Name of Person Mailing Paper

Julie Lyons
Signature

TRANSMITTAL LETTER TO THE UNITED STATES ELECTED OFFICE (EO/US)

International Application No. : PCT/US95/05219
 International Filing Date : 4 May 1995
 Priority Date Claimed : 6 May 1994
 Title of Invention : *Compounds Useful to Treat Retroviral Infections*
 Applicant(s) for EO/US : Karen Rene Romines, et al.

To the United States Elected Office (EO/US):

This document and any attachments are being mailed by Express Mail under 37 CFR 1.10 and the required certificate appears above.

1. The above-identified international application was searched and preliminarily examined by the European Patent Office (EPO) acting as International Search Authority (ISA) and International Preliminary Examination Authority (IPEA), respectively. Accompanying this transmittal letter are certain items which are required under 35 USC 371 in order that United States National processing of the above identified International application may commence at the expiration of the applicable time under PCT Article 39(1)(a) according to the provisions of 35 USC 371(b).

THE CLAIMS NOW PENDING AND PRESENTED FOR EXAMINATION ARE:

- ☐ THE CLAIMS ATTACHED TO THE PRELIMINARY EXAMINATION REPORT (SEE ¶5),
 - ☐ THE CLAIMS SUBMITTED IN THE PRELIMINARY AMENDMENT ENCLOSED HEREWITH, AND/OR
 - ☒ THE CLAIMS AS ORIGINALLY FILED, WHICH
 - ☐ WERE
 - ☒ WERE NOT
 AMENDED DURING PRELIMINARY EXAMINATION.
2. A verified translation of the International Application into English [35 USC 371(c)(2)] is not required, as the application was filed in English.
 3. An oath or declaration of the inventor [35 USC 371(c)(4)] complying with 35 USC 116 is submitted herewith and such oath or declaration identifies the application and any amendments under PCT Article 19 which were transmitted as stated above, and states that they were reviewed by the inventor as required by 37 CFR 1.70.
 4. An International Search Report or Declaration under PCT Article 17(2)(a) has been transmitted by the International Bureau. Date of mailing (from form PCT/ISA/220): March 8, 1996

5. An International Preliminary Examination Report has been issued by the Preliminary Examination Authority. Date of mailing (from PCT/IPEA/416/08.87): July 2, 1996. A copy of this report and any annexes are enclosed herewith.

6. Please amend the above-identified application by providing a new cross reference to related applications before the first line of the specification which reads as follows:

-- This application is the national phase of international application PCT/US95/05219, filed 4 May 1995, which is a continuation-in-part of U.S. Serial No. 08/349,361, filed 2 December 1994, now abandoned, which is a continuation-in-part of U.S. Serial No. 08/238,817, filed 6 May 1994, now abandoned. --

7. The U.S. National fee [37 CFR 1.492] is calculated below:

| | No. Filed | No. Extra | Rate | Fee |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-----------|---------------|--------------------|
| TOTAL CLAIMS FEE | 39 - 20 = | 19 | x \$22 | \$ 418.00 |
| INDEPENDENT CLAIMS FEE | 10 - 3 = | 7 | x \$80 | \$ 560.00 |
| [] MULTIPLE DEPENDENT CLAIM | | | \$260 | \$ 0.00 |
| BASIC FEE | | | | \$ 1040.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | \$ 2,018.00 |
| Surcharge for furnishing the National fee or declaration later than 30 months (but no later than 32 months) from any claimed priority date (37 CFR 1.445(a)(6)) | | | \$130 | \$ 0.00 |
| TOTAL FEES ENCLOSED | | | | \$ 2,018.00 |

SPECIFIC DEPOSIT ACCOUNT AUTHORIZATION. Please charge my Deposit Account No. **21-0718** in the amount of the total fees above. Triplicate copies of this sheet are enclosed.

GENERAL DEPOSIT ACCOUNT AUTHORIZATION. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or during the pendency of this application or credit any overpayment to Deposit Account No. **21-0718**:

- (1) Any additional filing fees or fees for the presentation of additional claims required under 37 CFR 1.16.
 - (2) Any patent application processing fees under 37 CFR 1.17.
- No authorization is given to charge the Issue Fee (37 CFR 1.18).

8. ☐ A Preliminary Amendment is enclosed.

9. A copy of the International Application as filed [35 USC 371(c)(2)] is not required, as the application was filed in the United States Receiving Office.

Respectfully submitted,

Date: 4 Nov. 1996

Martha A. Gammill
Martha A. Gammill, Attorney
Registration No. 31,820
Telephone: (616) 833-7829

Mlg. Address: Intellectual Property Legal Services, Pharmacia & Upjohn Company, Kalamazoo, MI 49001

Attachments: Oath or Declaration
International Preliminary Examination Report and Annexes
[] Preliminary Amendment

CERTIFICATE OF MAILING (37 CFR 1.10)**"Express Mail" No.:** 18689487700 US**Date of Deposit:** January 10, 1997

I hereby certify that this transmittal together with the patent application referred to below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Julie Lyons, Legal Technician**Name of Person Mailing Paper**Julie Lyons
Signature

International Application No. : PCT/US95/05219
International Filing Date : 4 May 1995
Priority Date Claimed : 6 May 1994
Title of Invention : Compounds Useful to Treat Retroviral Infections
Applicant(s) : Karen Rene Romines, et al.

Assistant Commissioner for Patents
Box PCT
Washington DC 20231

Attention: Paul Bell/PCT Legal

RECEIVED**25 MAR 1997****Legal Staff
International Division****COMMUNICATION**

This communication is a follow-up to a telephone conversation between Julie K. Lyons, a Legal Technician at Pharmacia & Upjohn, and Mr. Paul Bell/ PCT Legal at the United States Patent and Trademark Office, regarding the filing of the above-identified application which represents the National Phase of International Application PCT/US95/05219.

A Transmittal Letter to the United States Elected Office (form PL-1390) was submitted to the United States Elected Office on 4 November 1996 requesting that United States National processing of the international application commence at the expiration of the applicable time under PCT Article 39(1)(a) according to the provisions of 35 USC 371(b). A copy of this Transmittal Letter (including the accompanying IPER and the Declaration and Power of Attorney) and a copy of the Express Mail Certificate are enclosed.

A return postcard acknowledging receipt of our Transmittal Letter to the United States Elected Office was received from the USPTO; however, no U.S. Serial No. was given on the postcard. A copy of this return postcard is also enclosed.

Assignment of a U.S. Serial No. representing that the United States National processing of this international application has commenced is respectfully requested.

Respectfully submitted,

Date: 10 Jan. 1997

Martha A. Gammill
Martha A. Gammill, Attorney
Registration No. 31,820
Telephone: (616) 833-7829

Mlg. Address: Intellectual Property Legal Services, Pharmacia & Upjohn Company, Kalamazoo, MI 49001

Attachments: Copy of Return Postcard
Copy of Express Mail Certificate
Copy of Transmittal Letter to the U.S. Elected Office (Form PL-1390) with the IPER and Declaration and Power of Attorney forms

TB 509 487 25X US

POST OFFICE
TO ADDRESSEE

SEE REVERSE FOR SERVICE GUARANTEE,
INSURANCE COVERAGE AND CLAIMS.
THANK YOU FOR CHOOSING EXPRESS
MAIL SERVICE.

| | | | |
|-----------------------------------------------------------------------------------------------------------|----------------------------------------------|---------------------|-----------------|
| ORIGIN | | Date: 11-4-96 | Postage: \$2.75 |
| Post Office Zip Code: 49000 | Time In: 11:45 AM | Return Receipt: YES | |
| Initials of Receiving Clerk: AC | Weight: 5.02 lbs | | |
| ACCEPTANCE | | | |
| <input checked="" type="checkbox"/> Next Day Delivery | <input type="checkbox"/> Second Day Delivery | | |
| <input type="checkbox"/> By 12 Noon | <input type="checkbox"/> By 3:00 P.M. | | |
| <input type="checkbox"/> Military 2nd Day | <input type="checkbox"/> Military 3rd Day | | |
| Express Mail Corporate Account No. X490293 | Federal Agency Account No. | | |
| FROM: THE LUDWIG COMPANY INTELLECTUAL PROPERTIES 201 HENRIETTA STREET KALAMAZOO MI 49001-0199 | | | |



EXPRESS MAIL
NEXT DAY SERVICE

| | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|
| <p>Waiver of Signature and Indemnity (Domestic Only)</p> <p>I wish delivery to be made without obtaining the signature of the addressee or the addressee's agent or the signature of the delivery employee. The article can be left in a secure location and I authorize the delivery employee to sign that the shipment was delivered and understand that the signature of the delivery employee will constitute valid proof of delivery.</p> | <p>TO: Assistant Commissioner Boy PCT Washington, DC 20535</p> <p>Telephone Number: (202) 696-1111</p> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|

CUSTOMER RECEIPT

| | | |
|--------------------------------------------------------------------|------------|------------------|
| CASE NO. 4798-PCP | PAGES 5 | NO. OF CLAIMS |
| APPLICANT(S) Karen R. Romines, et al. | | |
| TITLE OF INVENTION Compounds Useful to treat Retroviral Infections | | |
| FILING DATE | SERIAL NO. | |
| AFFIDAVIT - UNDER RULE | | INTERFERENCE NO. |
| 1390 ATTACHMENTS: | | |
| [X] OATH OR DECLARATION | | |
| [X] IPER | | |
| [] PRELIMINARY AMENDMENT | | |
| MISSING PARTS | | |
| ATTORNEY STATEMENT | | |
| [] INFORMATION DISCLOSURE | | |
| MAG/5 11-4-96 | | |
| X National Stage via Form 1390s92 | | |